

06/08/01

06-11-01

J1036 U.S. PTO

09/877546

06/08/01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	26071/12:1
First Inventor	Benjamin R. Clifton
Title	Liquid-Crystal-Based Video . . .
Express Mail Label No.	EL414489457US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **22**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **8**]
5. Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☒ Continuation-in-part (CIP)

of prior application No.:

09/425,316

Prior application information:

Examiner

William C. Dowling

Group Art Unit:

2851

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label



or



Correspondence address below

Name

Richard B. Preiss

Address

Stoel Rives LLP

900 SW Fifth Ave., Suite 2600

City

Portland

State

OR

Zip Code

97204

Country

USA

Telephone

503.224.3380

Fax

503.220.2480

Name (Print/Type)

Richard B. Preiss

Registration No. (Attorney/Agent)

36,640

Signature

Date

JUN 28, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 485.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Concurrently herewith

Benjamin R. Clifton

26071/12:1

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-4455

Deposit  
Account  
Name

Stoel Rives LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)
101	201	710	355
106	206	320	160
107	207	490	245
108	208	710	355
114	214	150	75

Fee Description

Fee Paid

Utility filing fee	355
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) (\$ 355

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20** = 10	9	90
3	-3** = 0	40	0
Multiple Dependent			0

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)
103	203	18	9
102	202	80	40
104	204	270	135
109	209	80	40
110	210	18	9

Fee Description

Claims in excess of 20	
Independent claims in excess of 3	
Multiple dependent claim, if not paid	
** Reissue independent claims over original patent	
** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 90

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)
105	205	130	65
127	227	50	25
139	139	130	130
147	147	2,520	2,520
112	112	920*	920*
113	113	1,840*	1,840*
115	215	110	55
116	216	390	195
117	217	890	445
118	218	1,390	695
128	228	1,890	945
119	219	310	155
120	220	310	155
121	221	270	135
138	138	1,510	1,510
140	240	110	55
141	241	1,240	620
142	242	1,240	620
143	243	440	220
144	244	600	300
122	122	130	130
123	123	50	50
126	126	240	240
581	581	40	40
146	246	710	355
149	249	710	355
179	279	710	355
169	169	900	900

Fee Description

Fee Paid

Surcharge - late filing fee or oath	
Surcharge - late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for ex parte reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for reply within first month	
Extension for reply within second month	
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive - unavoidable	
Petition to revive - unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Petitions to the Commissioner	
Petitions related to provisional applications	
Submission of Information Disclosure Stmt	
Recording each patent assignment per property (times number of properties)	40
Filing a submission after final rejection (37 CFR § 1.129(a))	
For each additional invention to be examined (37 CFR § 1.129(b))	
Request for Continued Examination (RCE)	
Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

Name (Print/Type)

RICHARD B. PREISS

Registration No.  
(Attorney/Agent)

36,640

Telephone

503.224.3380

Signature

Richard B. Preiss

Date

JUNE 8, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Application No.:

Filed: Concurrently Herewith

Inventor: Benjamin R. Clifton and John P. Fogarty

Title: LIQUID-CRYSTAL-BASED VIDEO PROJECTION SYSTEM HAVING  
ENHANCED PERFORMANCE AND RELIABILITY

Attorney Docket No.: 26071/12:1

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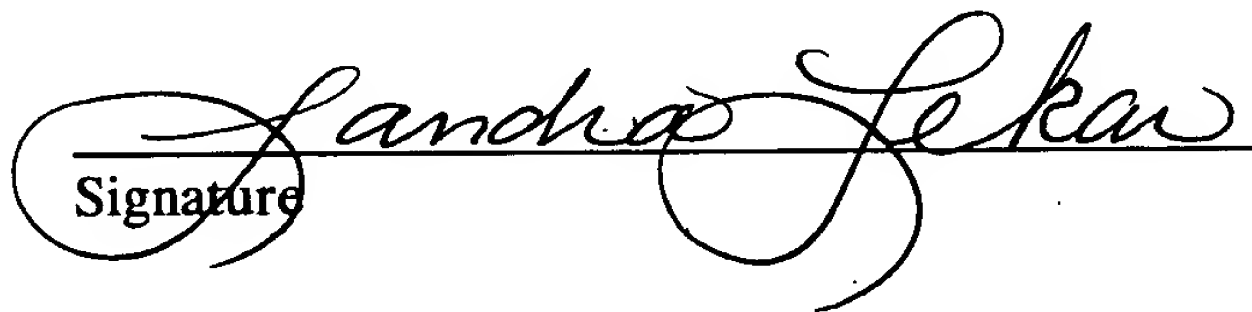
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20231

  
Signature

SANDRA LEKAR

Typed or printed name of person signing  
certificate

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Attachment:

- [X] Utility Patent Application Transmittal, 22 pages of specification and claims, 8 sheets of drawings, Combined Declaration and Power of Attorney for Patent Application, Patent Application Fee Determination Record, Fee Transmittal (in duplicate), Assignment, Assignment Cover Letter, check for \$485 in payment of filing fee and assignment recordal fee, Information Disclosure Statement, PTO-1449 (with references) and return receipt postcard.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Benjamin R. Clifton

Title

Liquid-Crystal-Based Video Projection  
System Having Enhanced Performance ..

Atty Docket Number

26071/12:1

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 8, 2001

Date

Richard B. Preiss

Signature

Richard B. Preiss, Reg. 36,640

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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